

## Joint Conference of the GMDS & CEN-IBS 2020

# Estimands for Overall Survival in clinical trials with treatment switching

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*Bringing Data to Life*



# Estimands in clinical trials with treatment switching

- On behalf of the Treatment Switching subteam of the **European special interest group “Estimands in oncology”**
- **Sponsoring** by PSI and EFSPI and ASA scientific working group of the ASA biopharmaceutical section
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- **More information:** [www.oncoestimand.org](http://www.oncoestimand.org)

# Declarations

**Title:** *Estimands in clinical trials with treatment switching*

**Authors:** *Juliane Manitz, Hannes Buchner et al.*

**DOI:** *Manuscript submitted: "Estimands for Overall Survival in Clinical Trials with Treatment Switching"*

## **Conflict of Interest:**

- *Employment of (co-)authors*
- *See previous slide*

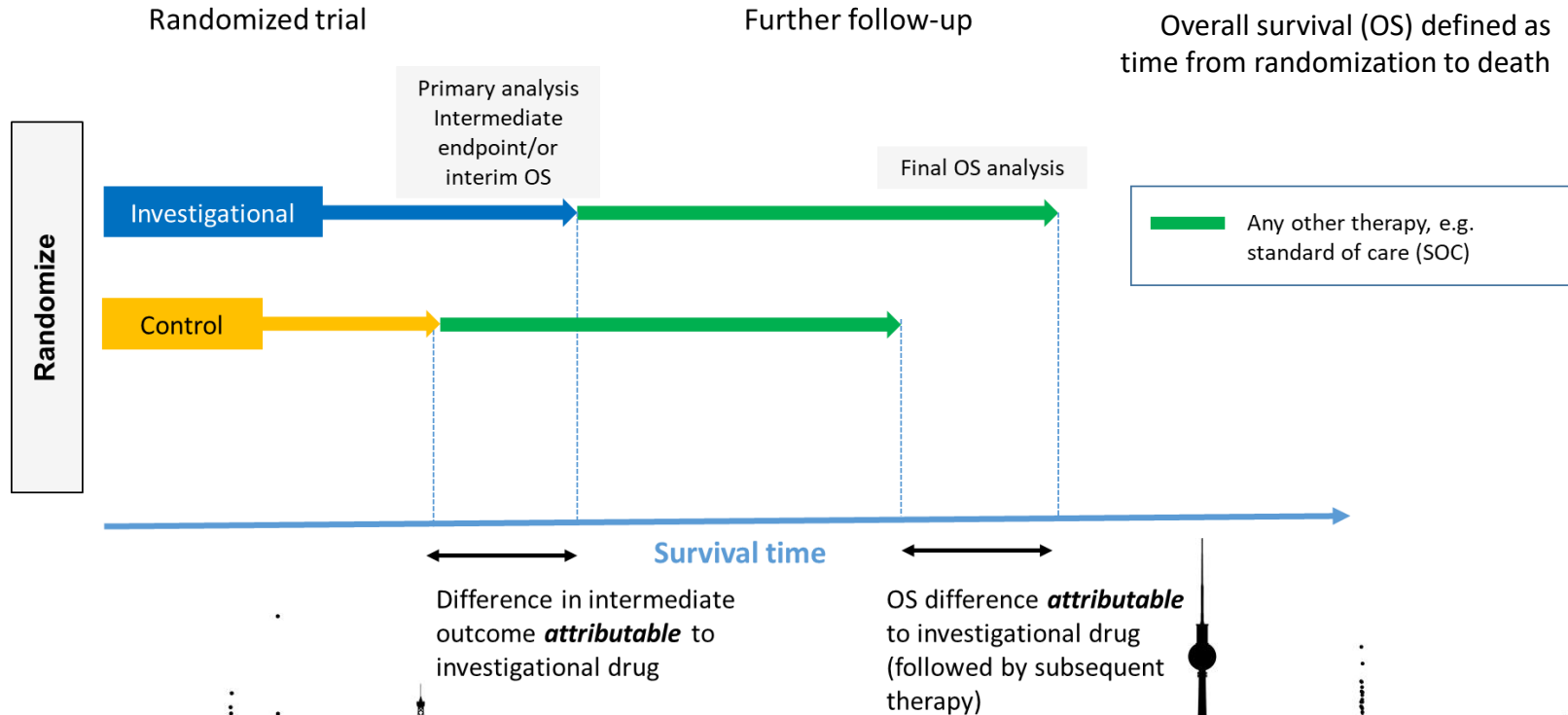
## **Ethics Committee and Preregistration :**

- Ethics vote: *N/A*
- Preregistration: *N/A*

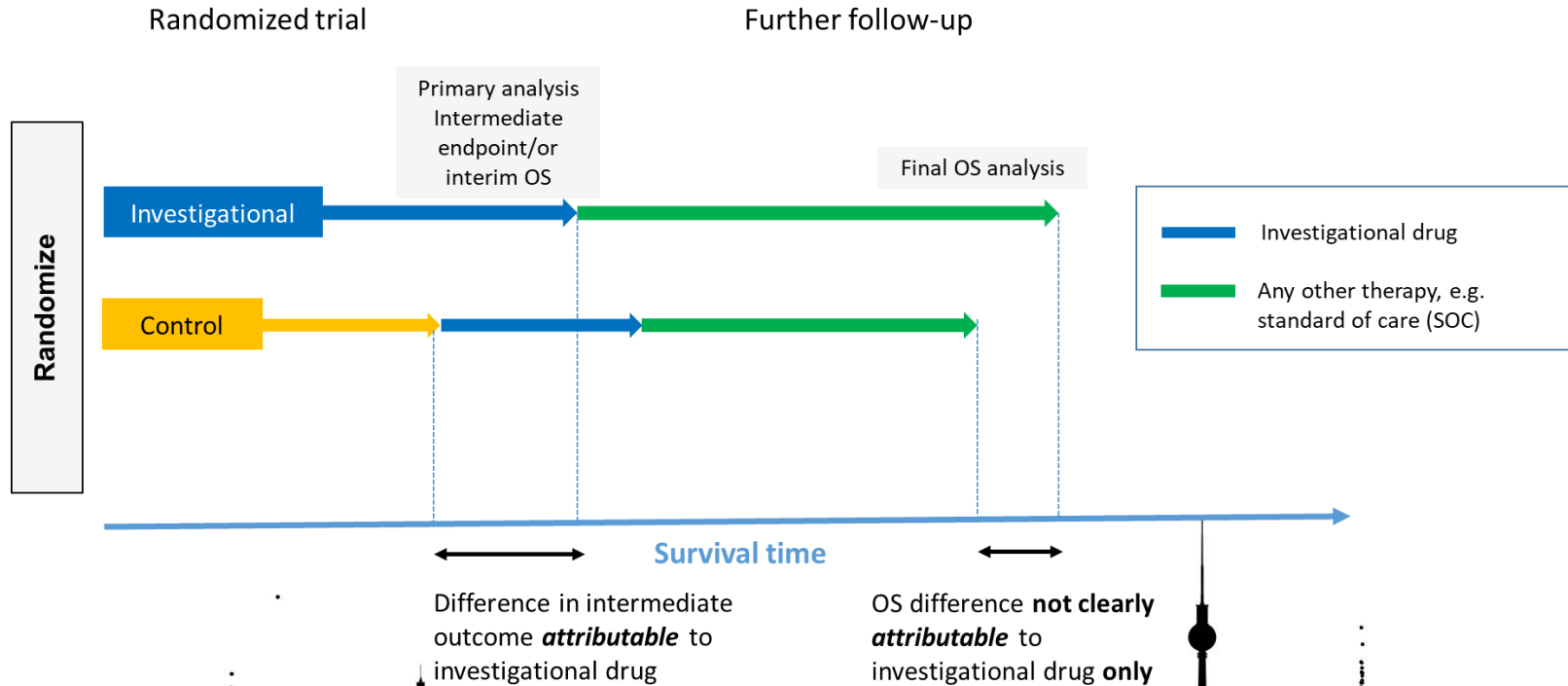
## **Responsibility and Copyright:**

The submitted manuscript was reviewed and accepted in a two-stage peer-review process. The presentation was prepared by the authors and not peer reviewed. The authors are responsible for the content of this presentation. The copyright is held by the authors. Any use or reproduction requires the consent of the authors.

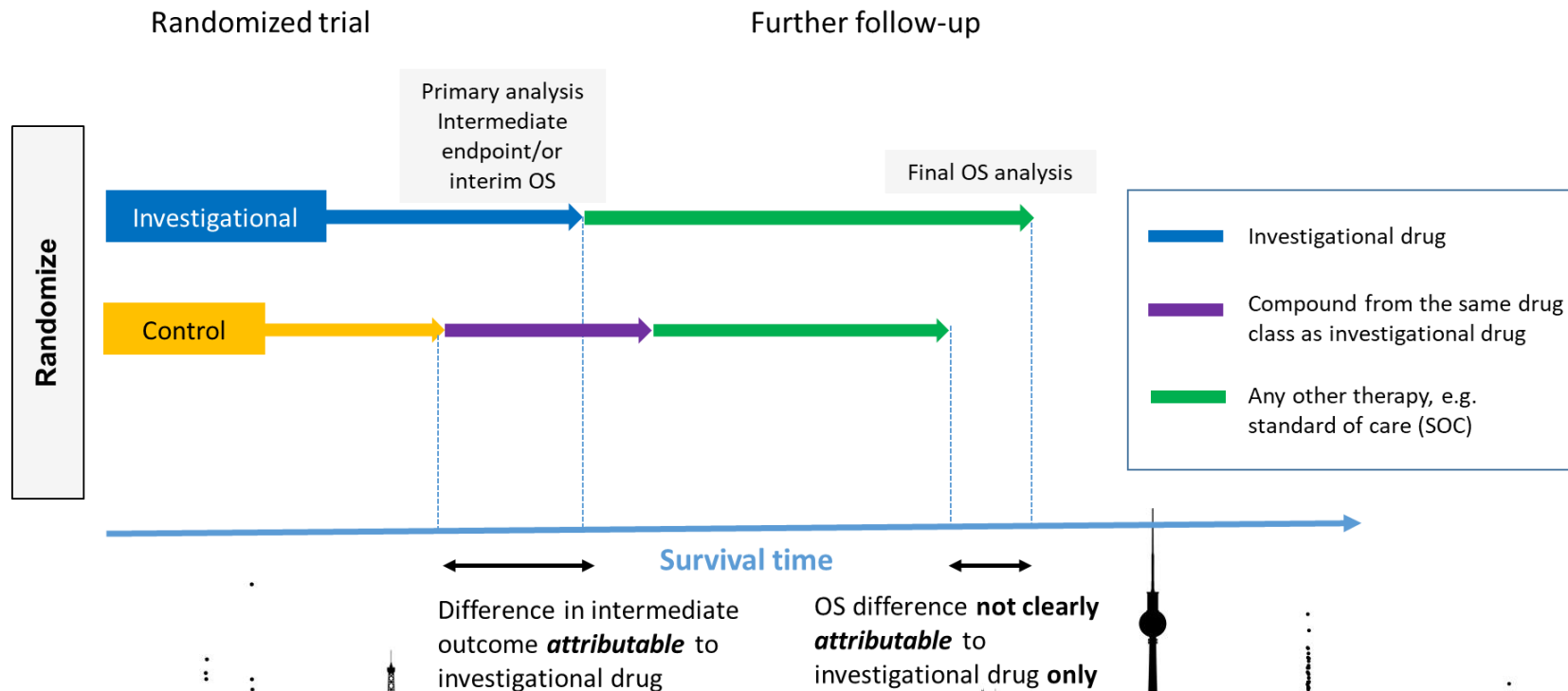
# A Stylized Example of a Randomized Clinical Trial in Oncology



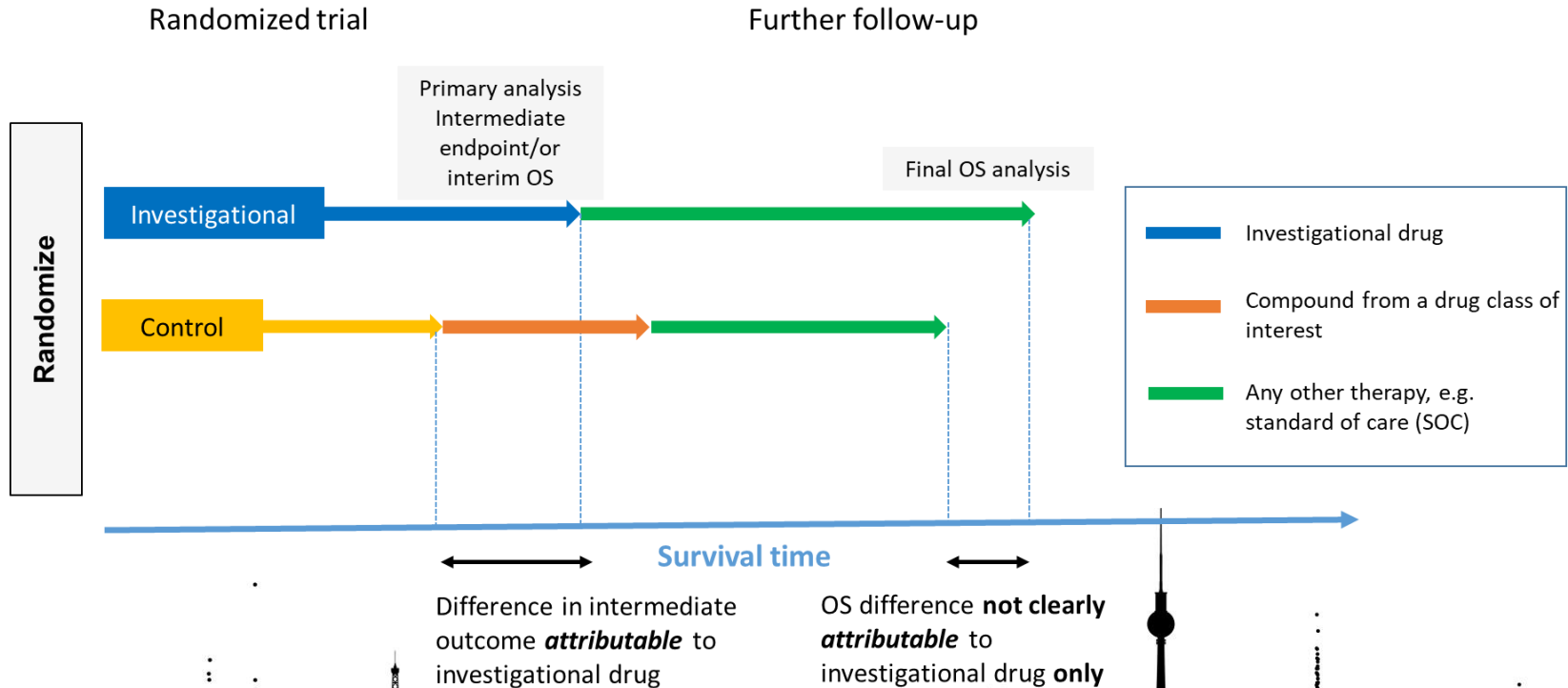
# Treatment Switching Scenario 1: Cross-over from Control to Investigational Arm



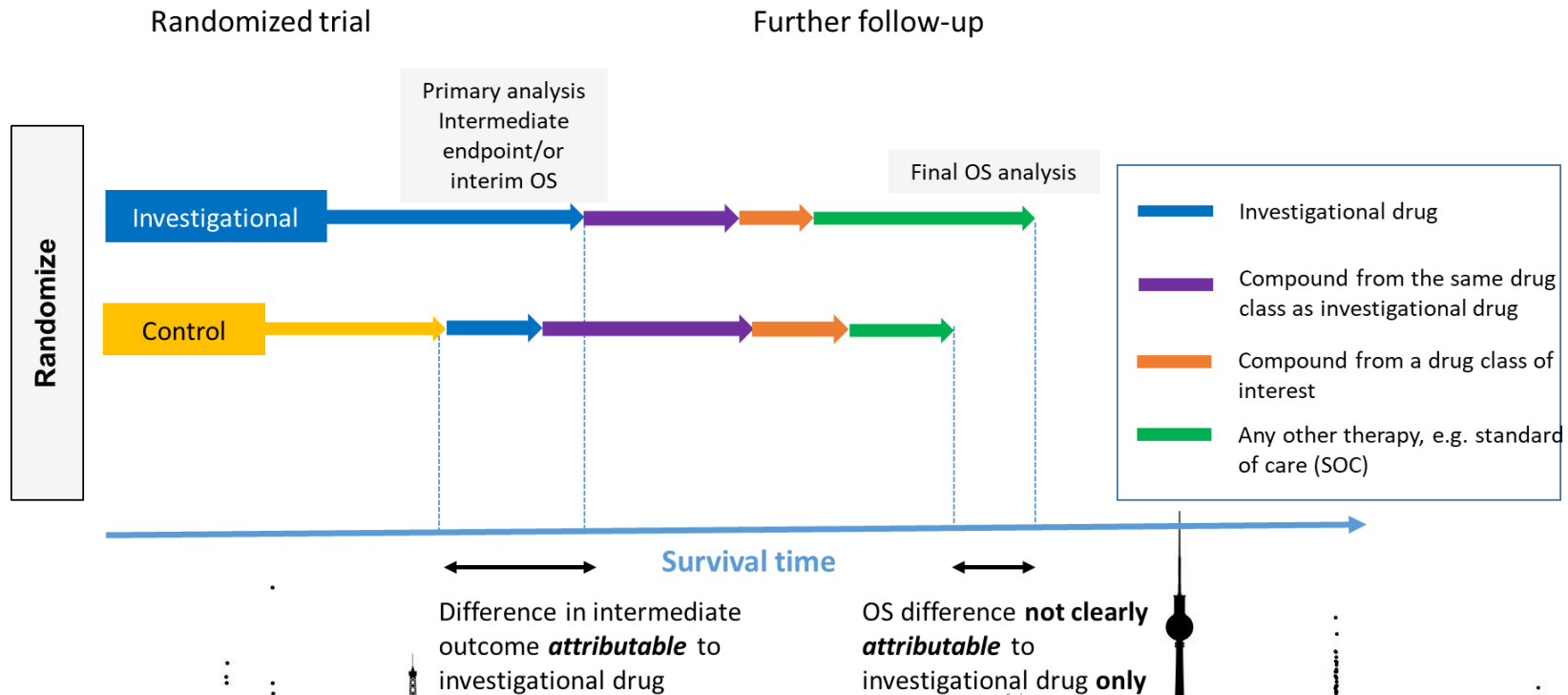
# Treatment Switching Scenario 2: From Control to Same Drug Class as of Investigational Arm



# Treatment Switching Scenario 3: From Control Arm to Drug Class of Interest



# A More Realistic Example: Mix of Treatment Switching Scenarios



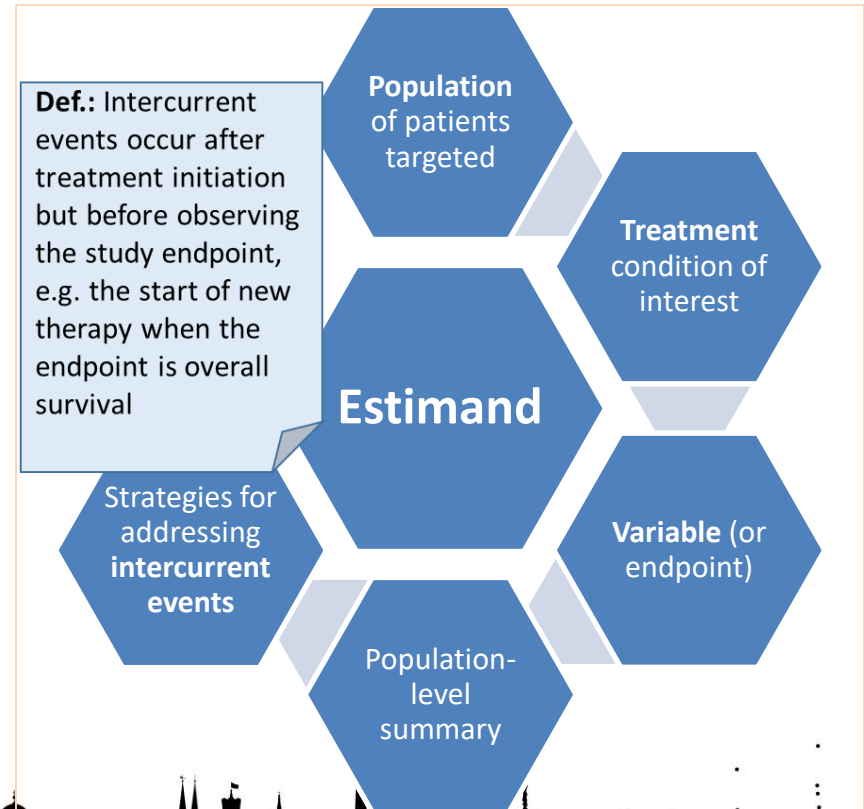


# What do we actually measure? What are the key questions?

- The traditional approach ignores treatment switching and rest on the following assumptions:
  - ✓ Subsequent therapy reflect clinical practice (including investigational drug in later line) **in particular decision context**
  - ✓ Patients receiving subsequent treatments (from same class as investigational drug and drug class of interest) and dose intensity as expected (as SOC) between investigational and control arm
- If these assumptions do not hold, we may consider to estimate the OS benefit that is attributable to the investigational drug
- The estimand framework provides a coherent framework to make the arising issues of treatment switching explicit and offers a systematic and transparent approach for assessment

# What is an Estimand?

- **Estimand** is the target of estimation to address the scientific question of interest posed by the study objective.
- An estimand is described by five attributes, defining together the treatment effect of interest.
  - Align trial **objectives** and statistical analyses by requiring a precise definition of the population quantity of interest
  - Increase transparency with respect to data analysis and inference
  - Strengthen the dialogues between disciplines involved in the formulation of clinical study objectives, design, conduct, analysis and interpretation



# Treatment Policy Estimand

- **Objective:** Evaluate OS benefit assuming subsequent therapies represent clinical practice
- **Estimand:**
  - **Population:** Defined through appropriate I/E criteria to reflect the target patient population for approval
  - **Variable:** Overall survival, defined as the time from randomization to death
  - **Treatment:** Sequence of investigational drug + any subsequent therapies vs. sequence of control + any subsequent therapies (including Investigational drug)
  - **Handling of intercurrent events:**
    - Start of subsequent therapy at any time: Treatment policy
    - Crossover to investigational drug at any time: Treatment policy
    - Crossover to investigational drug at disease progression: Treatment policy
  - **Population-level Summary:** Hazard ratio and confidence interval
- **Estimate:** Cox model and KM estimates using ITT approach

# Hypothetical Estimand

- **Objective:** Evaluate OS benefit adjusted for treatment switching
- **Estimand:**
  - **Population:** Defined through appropriate I/E criteria to reflect the target patient population for approval
  - **Variable:** Overall survival, defined as the time from randomization to death
  - **Treatment:** Investigational drug vs control (if there were no subsequent therapies)
  - **Handling of intercurrent events:**
    - Start of subsequent therapy at any time: Hypothetical
    - Crossover to investigational drug at any time: Hypothetical
    - Crossover to investigational drug at disease progression: Hypothetical
  - **Population-level Summary:** Hazard ratio and confidence interval
- **Estimate:** Adjusted HR and CI from IPCW-weighted Cox model

# Estimands in Clinical Trials with Treatment Switching

<b>OBJECTIVE</b>	<i>Evaluate OS benefit assuming subsequent therapies represent clinical practice</i>	<i>Evaluate OS benefit adjusted for treatment switching</i>	<i>Evaluate OS benefit adjusted for treatment crossover</i>	<i>Evaluate OS benefit adjusted for treatment crossover at disease-related time-point</i>
<b>ESTIMAND</b>				
<b>Population</b>	Defined through appropriate I/E criteria to reflect the target patient population for approval			
<b>Variable / Endpoint</b>	Overall survival: Time from randomization to death			
<b>Treatment condition of interest</b>	Sequence of investigational drug + any subsequent therapies vs. sequence of control + any subsequent therapies (including Investigational drug)	Investigational drug vs control (if there were no subsequent therapies)	Sequence of investigational drug + any subsequent therapies vs. sequence of control + any subsequent therapy (excluding investigational drug)	Sequence of Investigational drug + any subsequent therapies vs. sequence of control + any subsequent therapy (excluding investigational drug)
<b>Handling of intercurrent events (IEs)</b>				
<b>IE: Start of subsequent therapy at any time</b>	Treatment policy	Hypothetical	Treatment policy	Treatment policy
<b>IE: Crossover to investigational drug at any time</b>	Treatment policy	Hypothetical	Hypothetical	Treatment policy
<b>IE: Crossover to investigational drug at disease progression</b>	Treatment policy	Hypothetical	Hypothetical	Hypothetical
<b>Population-level Summary</b>	Kaplan – Meier estimates; Hazard ratio (HR) with confidence interval (CI)			
<b>ESTIMATION</b>	Cox model and KM estimates using ITT approach	Adjusted HR and CI from IPCW-weighted Cox model; weighted KM estimates	HR from RPSFT model using adjusted survival times; IPCW methods could also be used	HR from two-stage method using reconstructed survival; IPCW and RPSFT methods could be used

# Conclusions & Summary

- Treatment policy estimand may not be clinically relevant if subsequent therapy does not represent clinical practice
- The estimand framework provides a coherent framework to make the issues of treatment switching explicit and offers a systematic and transparent approach for assessment
- Start to think about possible treatment switching scenarios during the planning phase of a trial
- Choose appropriate estimand according to pre-specified scientific question of interest
- Treatment switching methods which can be applied if the necessary data is collected; assumptions apply

**Further reading:** The corresponding manuscript is submitted: “Estimands for Overall Survival in Clinical Trials with Treatment Switching”